

DECLARATION FOR PATENT APPLICATION

As a named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF, the specification of which

is attached hereto.
 was filed on _____ as Application Serial Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Prior Foreign Application(s)

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

| Country | Application Number | Date of Filing (day, month, year) | Date of Issue (day, month, year) | Priority Claimed Under 35 U.S.C. 119 |
|---------|--------------------|--------------------------------------|-------------------------------------|--|
| | | | | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

Prior United States Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

| Application Number | Filing Date |
|--------------------|-------------|
| | |
| | |

Prior United States Application(s)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| Application Serial Number | Date of Filing (day, month, year) | Status - Patented, Pending, Abandoned |
|---------------------------|--------------------------------------|--|
| | | |
| | | |

As a named inventor, I hereby appoint the following registered practitioner to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Ronald A. D'Alessandro, Registration No. 42,456; Michael F. Hoffman, Registration No. 40,019; Spencer K. Warnick, Registration No. 40,398

All correspondence and telephone communications should be addressed to Ronald A. D'Alessandro, Hoffman, Warnick & D'Alessandro, LLC, Three E-Comm Square, Albany, NY 12207, telephone number (518) 449-0044, which is also the address and telephone number of each of the above listed attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's

Full Name:

Arlene J. Ramsingh

Inventor's

Signature:



Date: 07/02/01

Residence:

34 Placid Lane

Glenmont, NY 12077

Citizenship:

US

Post Office Address:

same

Inventor's

Full Name:

Sadia S. Halim

Inventor's

Signature:

Sadia S. Halim

Date: 07/30/01

Residence:

25 Grand Street

#-112

Norwalk, CT 06851

US

Citizenship:

same

Post Office Address:

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PATENTS ONLY

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| | | | |
|---|--|---|--|
| 1. Name of conveying party(ies): <u>Sadia S. Halim</u> | | 2. Name and address of receiving party(ies): Name: <u>Arlene I. Ramsingh</u> Address: <u>34 Placid Lane</u> | |
| Additional name(s) of conveying party(ies) | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ | | | |
| Execution Date: <u>July 31, 2001</u> | | City: <u>Glenmont</u> State/Prov.: <u>NY</u> Country: <u>US</u> ZIP: <u>12077</u> | |
| | | Additional name(s) & address(es) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: _____ | | | |
| Patent Application No. <u>09/879,572</u> | | Filing date <u>6/12/2001</u> | |
| | | B. Patent No.(s) | |
| | | Additional numbers <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | | 6. Total number of applications and patents involved: <u>1</u> | |
| Name: <u>Ronald A. D'Alessandro</u> | | 7. Total fee (37 CFR 3.41): \$ <u>40.00</u> | |
| Registration No. <u>42,456</u> | | <input checked="" type="checkbox"/> Enclosed - Any excess or insufficiency should be credited or debited to deposit account | |
| Address: <u>Hoffman, Warnick & D'Alessandro LLC</u> | | <input type="checkbox"/> Authorized to be charged to deposit account | |
| Three E-Comm Square | | 8. Deposit account number: <u>500999</u> | |
| City: <u>Albany</u> State/Prov.: <u>NY</u> | | (Attach duplicate copy of this page if paying by deposit account) | |
| Country: <u>US</u> ZIP: <u>12207</u> | | | |

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald A. D'Alessandro

Name of Person Signing

Signature

October 30, 2001

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

**Assignment of Rights in Invention
(Sole inventor; single assignee)**

Docket No.
RAMS-0001

| Inventor | Residence of Inventor |
|--------------------|--|
| Sadia S. Halim | 25 Grand Street, Apt. 212 Norwalk, CT 06851 |
| Assignee | Residence or Principal Place of Business of Assignee |
| Arlene I. Ramsingh | 34 Placid Lane Glenmont, NY 12077 |

Whereas, I, the above-identified Inventor, have invented certain new and useful improvements in:

COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF

(hereinafter referred to as "Invention") for which I am making application for Letters Patent in the United States of America;

And, whereas I desire to assign a 100% undivided interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to the above-identified Assignee, and whereas said Assignee is desirous of acquiring the entire right, title and interest in the same;

*Now, this indenture witnesseth, that for the sum of **ONE** dollars (\$1.00), and other good and valuable consideration, the receipt whereof is hereby acknowledged;*

I hereby assign, sell and transfer a 100% undivided interest in said invention, said application, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of Assignee, or its designees as Assignee or its Assignees may from time-to-time present to me in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto;

**Assignment of Rights in Invention
(Sole inventor; single assignee)**

Docket No.
RAMS-0001

| Inventor | Residence of Inventor |
|---------------------------|--|
| Sadia S. Halim | 25 Grand Street, Apt. 212 Norwalk, CT 06851 |
| Assignee | Residence or Principal Place of Business of Assignee |
| Arlene I. Ramsingh | 34 Placid Lane Glenmont, NY 12077 |

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

Executed this 31st day of July , in the year 2001
at

Sadia Halim
(Signature of Inventor)

State of New York

County of New York

Before me personally appeared **Sadia S. Halim**
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 31st day of July , in the year 2001

Howard Horowitz
Notary Public, State of New York
No. 02HO5034827
Qualified in New York County
Commission Expires Oct. 17, 2002

Howard Horowitz
(Notary Public)

HOFFMAN, WARNICK & D'ALESSANDRO LLC
OPERATING ACCOUNT
THREE E-COMM SQUARE
ALBANY, NY 12207
(518) 449-0044

REMITTANCE ADVICE

29-7/213

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| PAY | One Hundred Thirty xx/100 | DOLLARS | |
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| 10/30/01 | Commissioner For Patents | Rams-0001 | 3333 |
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HOFFMAN, WARNICK & D'ALESSANDRO LLC
OPERATING ACCOUNT
THREE E-COMM SQUARE
ALBANY, NY 12207
(518) 449-0044

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